

UNITED STATES PATENT & TRADEMARK OFFICE  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND			
1 Date of Request: <u>6/1/04</u>		2 Serial/Patent # <u>10/033,019</u>	
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED
<input type="checkbox"/> Filing			
<input type="checkbox"/> Amendment			
<input type="checkbox"/> Extension of Time			
<input type="checkbox"/> Notice of Appeal/Appeal			
<input checked="" type="checkbox"/> Petition		<u>10</u>	<u>5/19/04</u>
<input type="checkbox"/> Issue			
<input type="checkbox"/> Cert of Correction/Terminal Disc.			
<input type="checkbox"/> Maintenance			
<input type="checkbox"/> Assignment			
<input type="checkbox"/> Other			
		7 TOTAL AMOUNT OF REFUND	\$ <u>55</u>
10 REASON:		8 TO BE REFUNDED BY:	
<input type="checkbox"/> Overpayment		<input checked="" type="checkbox"/> Treasury Check	
<input type="checkbox"/> Duplicate Payment		Credit Deposit A/C #:	
<input checked="" type="checkbox"/> No Fee Due (Explanation):		9 <u>01--1338</u>	
<u>1.181 petition</u>			
11 REFUND REQUESTED BY:			
TYPED/PRINTED NAME: <u>RETURNS</u>		TITLE: <u>ATTY</u>	
SIGNATURE: <u>RET</u>		PHONE: <u>7033080763</u>	
OFFICE: <u>Ret</u>			
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APPROVED: <u>Alison Hill</u>		DATE: <u>6/1/04</u>	

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PTO/SB/21 (08-03)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/033,019	
	Filing Date	12/27/2001	
	First Named Inventor	CHEN WEI	
	Art Unit	3723	
	Examiner Name	HADI SHAKERI	
Total Number of Pages in This Submission	14	Attorney Docket Number	2470-PAT

**RECEIVED**  
**MAY 21 2004**  
**OFFICE OF PETITIONS**

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	DONN K. HARMS PATENT & TRADEMARK LAW CENTER	
Signature		
Date	May 17, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	JANE E. GUETZ	
Signature		Date 5/17/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL

MAY 19 2004  
PATENT & TRADEMARK OFFICE  
Patent fees are subject to annual revision.

☒ ABANDON claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 495.00)

## Complete if Known

Application Number 10/033,019  
Filing Date 12/27/2001  
First Named Inventor CHEN WEI  
Examiner Name HADI SHAKERI  
Group Art Unit 3723  
Attorney Docket No. 2470-PAT

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 07-1338  
Deposit Account Name PATENT & TRADEMARK LAW CENT

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\* =  x  =   
Independent Claims  -3\*\* =  x  =   
Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ )

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2.520	147 2.520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1.840*	113 1.840*	Requesting publication of SIR after Examiner action	55.00
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1.440	218 720	Extension for reply within fourth month	
128 1.960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1.510	138 1.510	Petition to institute a public use proceeding	55.00
140 110	240 55	Petition to revive unavoidable	
141 1.280	241 640	Petition to revive unintentional	
142 1.280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	385.00
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited of a design application	

Other fee (specify) \_\_\_\_\_

• Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 495.00

## SUBMITTED BY

Name (Print/Type) DONN K. HARMS

Registration No. 38,911  
(Attorney / Agent)

Telephone 858-509-1400

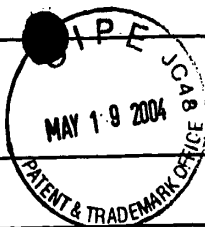
Signature

Date 5/17/2004

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Total 1'48" Pages Sent: 8 Pages Printed: 0

**DONN K. HARMS**

REGISTERED PATENT ATTORNEY  
**AMERICAN PATENT & TRADEMARK LAW CENTER**  
12702 Via Corbina, Suite 100 • Del Mar, CA 92014  
Phone: (858) 509-1400 • Fax: (858) 509-1677  
Dharmas@patentpending.com • www.patentpending.com

TO: U.S. PATENT & TRADEMARK OFFICE

ATTN: EXAMINER HADI SHAKERI  
ART UNIT 3723

FAX NO: 703-872-9303

FROM: DONN K. HARMS

DATE: November 25, 2003

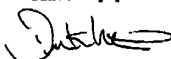
RE: SN 10/033,019  
Applicant: Wei Chen  
For: Automatically adjusting self-tightening  
wrench  
Number of pages (including this cover sheet) 8

Dear Examiner Shakeri:

We have been informed by our client that his name on the application should be WEI CHEN. Please advise if it is possible to change this.

Thank you very much.

Sincerely yours,

  
DONN K. HARMS





**DONN K. HARMS**

REGISTERED PATENT ATTORNEY

**AMERICAN PATENT & TRADEMARK LAW CENTER**

12702 Via Cortina, Suite 100 • Del Mar, CA 92014

Phone: (858) 509-1400 • Fax: (858) 509-1677

Dharms@patentpending.com • www.patentpending.com

#13



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ART UNIT 3723

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